



**A Framework for Implementing Ohio's Area of Concern  
Program**

# **Supporting Ohio's Areas of Concern**



John Kasich, Governor  
Mary Taylor, Lt. Governor  
Craig Butler, Director

December 2018  
Version 3.0



## Preface

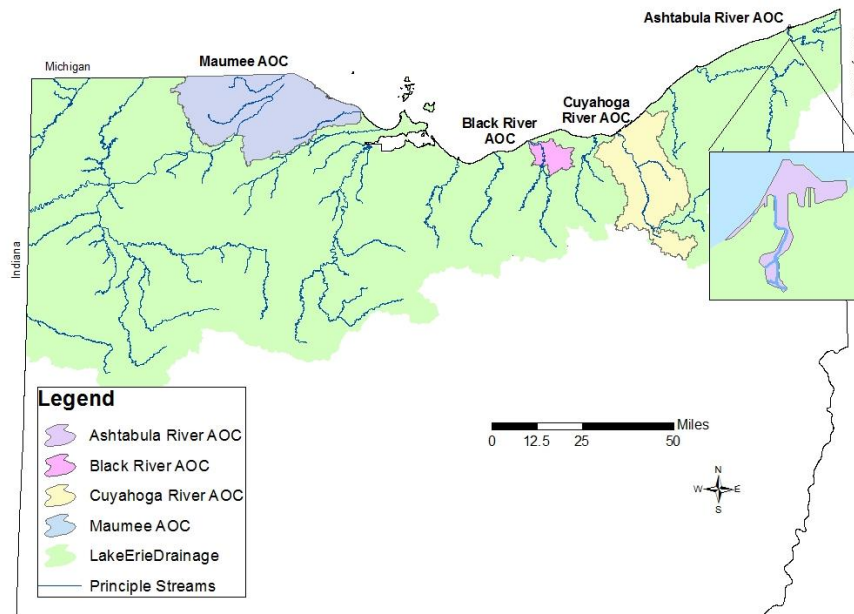
*This document was originally created in response to a recognized need to re-align activities of local “RAP” groups and Ohio EPA Lake Erie Program staff in order to accelerate the implementation of restoration projects within Ohio’s four Areas of Concern. The intent is to provide an overview of the responsibilities needed to realize improved AOC Program effectiveness leading to improved environmental conditions and the removal of Beneficial Use Impairments within Ohio’s designated Areas of Concern. This updated framework explains Ohio’s current AOC Program structure and responsibilities.*



## Introduction

The Great Lakes Water Quality Agreement (GLWQA) is an agreement between the U.S. and Canada to address key environmental health issues in the Great Lakes. The most recent Agreement (September 7, 2012) reaffirms actions necessary to restore and delist Areas of Concern (AOCs). The Great Lakes Water Quality Protocol of 2012 specifically addresses Areas of Concern in Annex 1 (the 1987 GLWQA addressed AOCs in Annex 2). The GLWQA identifies 14 different beneficial use impairments (BUIs) which are defined as a reduction in the chemical, physical or biological integrity of the Waters of the Great Lakes. The GLWQA requires development of Remedial Action Plans (RAPs) to identify the BUIs and causes, development of criteria for restoration of the beneficial uses, implementation of remedial measures, monitoring of the effectiveness of remedial measures, and confirmation that restoration of beneficial uses is being achieved. Each of the 43 AOCs contains at least one BUI that represents an extraordinary problem that is measurably worse than most waters in the Great Lakes. The 2012 GLWQA requires progress reporting every three years on the status of BUIs in each AOC, the actions completed or initiated in each AOC during the reporting period, and the remaining actions required in each AOC for removal of the BUIs.

There are four AOCs in Ohio: the lower two miles of the Ashtabula River; the lower portion of the Black River; the lower 46.5 miles of the Cuyahoga River, including all tributaries and the adjacent shoreline; and the lower 22 miles of the Maumee River, including several adjacent watersheds that discharge directly to Maume Bay and Lake Erie. Figure 1 shows the location of Ohio's AOCs.



**Figure 1 – Location of Ohio Areas of Concern (AOCs)**



# In Support of Ohio's Areas of Concern

A Framework for implementing Ohio's Area of Concern Program

## Ohio's Area of Concern Program Framework

Restoring Ohio's four Areas of Concern (AOC) involves collaboration among U.S. EPA's Great Lakes National Program Office (GLNPO), other federal agencies (e.g., U.S. FWS, U.S. ACE), Ohio EPA, Ohio Lake Erie Commission (OLEC), Ohio Department of Natural Resources (ODNR), and local governments, park districts and others. However, the heart of Ohio's Program lies with the local individuals who have come together from public and private sectors to help "steer" the restoration process of the rivers that flow through their communities by developing a Remedial Action Plan (RAP). AOC Advisory Committees (as these groups will be referenced henceforth) also serve as liaisons with their specific communities and most importantly with the residents of the AOCs.

Established more than 30 years ago, the Remedial Action Planning Process in Ohio has transitioned from active planning to varying levels of implementation. In recent years, the establishment of the Great Lakes Restoration Initiative (GLRI) provides unprecedented opportunities to increase implementation of needed management actions. Restoring AOCs is a binational commitment in the Great Lakes Water Quality Agreement with Canada and restoration of AOCs has been a highlighted priority.

## Ohio's Areas of Concern



Ashtabula River

Black River

Cuyahoga River

Maumee River

## Ohio's AOC Program Staff



Lynn Garrity, Program Administrator, OLEC

Chad Ferguson, NEDO, Ohio EPA

Cherie Blair, NWDO, Ohio EPA



While Ohio's four AOCs provide unique opportunities, they also provide substantial challenges. The Ashtabula AOC is showing considerable improvement following completion of several dredging and restoration projects leading to the removal of three beneficial use impairments (BUIs) in 2014. All Management Actions have been completed and it is anticipated that the remaining BUIs will be removed as the river system continues to recover. Efforts to restore the Cuyahoga AOC have focused on habitat restoration (dam removal) and dredging while the city of Lorain has completed several Management Action projects within the Black River AOC. Finally, the Maumee AOC continues to evaluate and remediate contaminated sediments and restore habitats while continuing to address other challenges across this complex AOC. One commonality shared across the state is the presence of dedicated local communities who understand the economic and environmental benefits of vibrant and healthy riverfronts and harbors.

Ohio recognized the ability to accelerate progress in the AOCs with a thorough review and revision of Ohio's BUI Restoration Targets. Ohio EPA worked with technical experts within and outside of the Agency to develop targets updated that are measurable and aligned with the AOC program objectives. Local AOC Advisory Committees were provided an opportunity to review and comment on the draft document. There have been several minor updates/corrections to the [\*Delisting Guidance and Restoration Targets for Ohio Areas of Concern\*](#) since the major revisions released in May 2014.

Following the revision of the restoration targets, reinvigorating the AOC Program was identified as the next strategic priority for the Ohio EPA. It was recognized that Ohio's AOC Program needed to evolve and become more effective and efficient. The reorganization was structured to take advantage of the opportunities presented through the GLRI. To realize additional programmatic efficiencies, AOC Program coordination was again shifted in late 2018 to the Ohio Lake Erie Commission, with staff support from Ohio EPA.

This framework document was created to help the AOC Program to clearly define the roles and responsibilities of the many partners involved in AOC restoration. This framework document provides clarity and guidance for local AOC Facilitating Organizations, the local AOC Advisory Committees and Ohio EPA/OLEC AOC program personnel. It also provides other AOC partners with a clear understanding of the AOC Program roles and responsibilities in Ohio. The roles are further defined with minimum performance expectations to establish consistency within the program and lay the foundation for effective interaction between the groups. This framework also identifies the roles and responsibilities of Ohio EPA's AOC Coordinators and OLEC Program Administrator.

It should be noted that this framework will be applied to all of Ohio's AOCs except for the Ashtabula River AOC where all identified management actions have been completed. Ohio EPA will work with the existing AOC Advisory Committee to facilitate delisting the Ashtabula AOC.



## **Local AOC Advisory Committee**

At the heart of any successful watershed restoration initiative is a body of local people who are invested and passionate about the health and welfare of their watershed. In Ohio's AOCs, this type of local group is the AOC Advisory Committee (or Coordinating Committee). Ohio's four AOC Advisory Committees were extremely important during the early days of Ohio EPA's Lake Erie Program, serving as the groups who worked with others throughout their AOC to draft the initial RAPs.

Under the current AOC program, the local AOC Advisory Committee performs the crucial task of advising state and federal partners on which management actions are needed to restore their AOCs. The Advisory Committees are also an important liaison with the local AOC Community. Their roles may vary from time to time, but their engagement is critical for successful implementation of recommended management action projects, and especially for ensuring that the public has a voice in the AOC process.

The Ohio AOC Program requires a minimum of eight highly active and engaged Advisory Committee members. In some AOCs, the local Advisory Committee may be as large as 30 people or more. Regardless of overall size, the committee should maintain approximately equal representation across the member categories (local government, business/industry, academic institutions/non-profit organizations, and general public). Ohio EPA District AOC Coordinators may only serve as ex-officio members of a local AOC Advisory Committee.

## **Roles and Responsibilities of a Local AOC Advisory Committee**

The local AOC Advisory Committee performs the following functions and roles needed to successfully restore an AOC:

- Develops rules of order, bylaws and other processes by which the AOC Advisory Committee will conduct AOC-related business. Establishes quarterly meeting schedules as well as creating any AOC committees and/or subcommittees that may be necessary to conduct appropriate AOC-related business. The AOC Program recommends the use of temporary project teams rather than standing committees or subcommittees.
- Identifies and compiles a list of recommended management action projects that are strategically prioritized within critical areas that will lead to BUI removal and AOC delisting.
- Establishes and approves annual goals, objectives, and work plans for the subcommittees and coordinates to align with Facilitating Organization.
- Serves as a local AOC liaison with Ohio EPA, OLEC, U.S. EPA and other state, local and federal partners on program, policy, projects and financial matters.
- Promotes regional and inter-agency cooperation on management actions being implemented in the AOC.



- Ensures that all persons engaged in the AOC Advisory Committee operates in full compliance with Ohio Ethics Laws.
- The local AOC Advisory Committee represents the voice of the AOC community. The Committee's meetings are to be conducted with transparency consistent with Ohio's sunshine laws, open to the public, announced in advance and provide for significant opportunities for public input, involvement, education and engagement.

The fundamental roles of the local AOC Advisory Committees are to facilitate community involvement and public input, provide input to state and federal agencies on issues of local concern and to encourage the development of management action lists and the implementation of those projects. The AOC Advisory Committee should be comprised of representatives of local governments, land managing conservation organizations with vested interests in the AOC such as Ducks Unlimited, The Nature Conservancy, land trusts, park districts, state agencies (with written authorization from that agency's leadership), local soil and water districts, local watershed organizations, other important stakeholders such as community organizers, and members of the general public with vested interests in the watershed.

Local AOC Advisory Committees should conduct core AOC mission-driven activities in support of a vision that their AOC is a regional asset worthy of restoration, remediation and protection. Many different entities will be engaged within the AOC; however, the local AOC Advisory Committee will serve as a unified voice for advancing progress toward BUI removal and AOC delisting.

In local AOC Facilitating Organizations that are 501(c)(3) nonprofit organizations, the local AOC Advisory Committee may not be that organization's Board of Trustees, Executive Board or other body motivated by the business of the non-profit organization. However, with the exception of the Chair, individuals from the Facilitating Organization's board or staff may participate as members of the AOC Advisory Committee. A local AOC Advisory Committee must be a stand-alone entity with a focus on restoring environmental health of the watershed within the AOC.

### **Local AOC Advisory Committee Minimum Performance Expectations**

Local involvement and engagement are a crucial piece of Ohio's AOC and Lake Erie Programs. We expect that a local AOC Advisory Committee will establish locally driven procedures, policies and programs. However, the following are minimum performance expectations for Local AOC Advisory Committees:

1. Local AOC Advisory Committees shall maintain a minimum of eight active members, with at least two members representing each of the following categories: (a) local government (i.e., counties, cities, special district and authorities); (b) business/industry (i.e., industry, commerce



and other for-profit organizations), (c) academic institutions/non-profit organizations; and (d) general public (i.e., residents, land owners and concerns citizens). For groups larger than eight members, there should be approximately proportional representation for each category.

2. The local AOC Advisory Committee shall operate with a functioning chairperson who may not be a board member or staff with the local Facilitating Organization.
3. There should be a minimum of four meetings of the AOC Advisory Committee—at least once quarterly. A quorum must be present at each of the quarterly meetings. In the absence of a quorum at the quarterly meeting, another meeting must be scheduled.
4. All quarterly meetings of the AOC Advisory Committee must include time where public input is solicited.
5. Minutes will be taken at each quarterly AOC Advisory Committee meeting and posted to the local AOC website within fifteen business days of when they are approved.
6. The Facilitating Organization will provide a quarterly fiscal report for any AOC-related grants awarded to that organization for the AOC Advisory Committee at each meeting.
7. AOC Advisory Committee will be asked to review and comment on any BUI removal and/or AOC delisting recommendations prepared for their AOC. The AOC Advisory Committee may concur with recommendations and/or choose to disagree with the recommendations, but a formal response and explanation of the Advisory Committee will be expected.



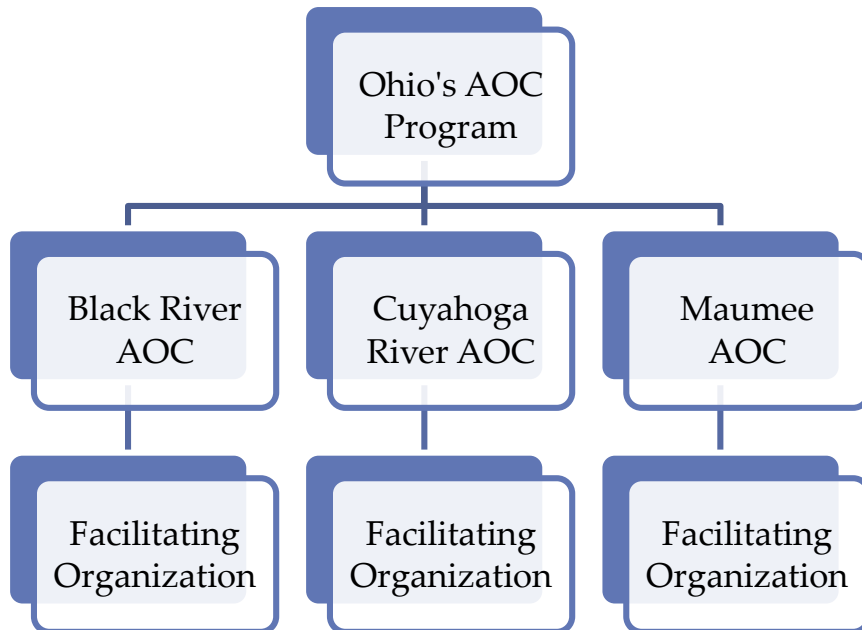


## Local AOC Facilitating Organizations

The local AOC Facilitating Organizations are responsible for three key components of the local AOC Program: 1) Administrative and secretarial support for the AOC Advisory Committee, 2) Coordination of Management Action project identification, implementation and promotion; and, 3) Coordination of outreach and information sharing with the local community regarding the AOC program, its projects and progress.

Although some local AOC Facilitating Organizations may choose to also be project implementers, an AOC Facilitating Organization is not typically expected to be implementers of significant or large management action projects. Most management actions in an AOC will usually be implemented by local governments, park districts, and other land holding entities within the AOC with the support of the AOC Advisory Committee. The Ashtabula River AOC does not have a designated Facilitating Organization.

**Figure 2 - Ohio's AOC Local Facilitating Organizations**





## **Roles and Responsibilities of a Local AOC Facilitating Organization**

In fulfilling their core mission of providing direct support to a local AOC Advisory Committee a local AOC Facilitating Organization typically fulfills the following support roles and responsibilities:

1. Serves as secretariat and facilitator to the local AOC Advisory Committee and its subcommittees within the AOC.
2. Works in partnership with the Ohio AOC Program and the local AOC community to facilitate the development and implementation of a list of management action projects and other actions, evaluations, studies or plans that will lead to BUI removal.
3. Assists local implementers by providing information and access to appropriate technical and financial assistance from local, state and federal program partners. Assists local implementers with grant writing and/or seeking AOC-specific project funding.
4. Engages the AOC community by providing regular opportunities to solicit public input and to increase public involvement in the activities of the AOC Advisory Committee.
5. Provides public information about the AOC and conducts meaningful public outreach activities to improve community awareness of management action projects and other activities within the AOC.
6. Administers all AOC grant funding in accordance with local, state and federal grant rules and guidelines. Insures that all required reports are submitted to funding organizations in a timely manner and that implementation of management actions are adequately tracked and reported to the Ohio AOC Program and the AOC community.

Any Ohio AOC Program grant support funds that are provided directly to a local AOC Facilitating Organization may only be used for activities directly related to those tasks outlined in the Master List of Grant Deliverables; such as serving as the secretariat to the local AOC Advisory Committee, providing assistance to local entities implementing management actions approved by the state of Ohio and GLNPO, and conducting AOC specific educational and outreach activities. Grant funds are not being provided to help the local organization build their own capacity. Activities such as fundraising, grant writing for a non-AOC purposes, and conducting public membership drives or other activities related to the general operations of the nonprofit organization are unallowable under AOC support grant funding.



## **Local AOC Facilitating Organization Minimum Performance Expectations**

The local AOC Facilitating Organizations are responsible for providing administrative and secretariat support to the local AOC Advisory Committee. For many years, the Facilitating Organizations have received grant support from the Ohio AOC Program to ensure that assistance to the AOC community and support to the Advisory Committee occur effectively and efficiently. Any subgrant agreements with the Ohio AOC Program will include very specific “deliverables” — items and/or activities that the Facilitating Organization is contractually bound to complete.

The following represent minimum performance standards that are expected of any organization serving as AOC's Facilitating Organization:

1. Administer all grant funds provided for Ohio AOC Program support in a manner that is compliant with state and federal grant and accounting requirements.
2. Provide quarterly fiscal reports to the AOC Advisory Committee for any AOC-support grant awarded by the Ohio AOC Program.
3. Work in partnership with the AOC Advisory Committee and the AOC Coordinator on an annual work plan for AOC grant funds.
4. Submit all grant required reports by their identified deadline(s). Failure to do so will result in suspension of grant payments.
5. Update the AOC-specific website at least once monthly by adding new material and removing all outdated material.
6. Assist AOC Management Action Project implementers with grant applications to implement an approved management action project, as requested.
7. Post minutes from each Local AOC Advisory Committee meeting to the AOC website within fifteen business days of when they are approved.
8. Conduct at least one annual public program that is specifically designed to highlight activities within the AOC and to help inform and engage the public, local governments and others within the AOC area.
9. Conduct at least one annual AOC outreach activity designed to provide opportunities for the public to directly engage with AOC activities.



## Ohio AOC Program Guidelines for AOC Support Grants

Starting July 1, 2014, any AOC Support Grants provided to local AOC Facilitating Organizations will be awarded and administered within the minimum framework of the following general grant policies and procedures, as well as consistent with state and federal grant guidelines, cost principles and any appropriate rules:

1. All AOC Support Grants are a performance-based grant system. AOC Support Grants will be awarded based upon the Master List of Deliverables that the AOC Facilitating Organizations will complete. Grant amounts must be proportionate to the proposed levels of performance.
2. All grant applications and contracts will be prepared using the Master List of Deliverables and in a format that will be provided by the Ohio AOC Program. Activities selected from the Master List of Deliverables *may* be funded using AOC Support Grant funding. Activities (deliverables) not included in the deliverable list must have prior approval to determine if they are grant eligible. The AOC Facilitating Organization will work in partnership with the AOC Advisory Committee and AOC Coordinator for Grant Deliverables.
3. The amount of funding and specific Master List of Deliverables is expected to change with each funding opportunity. Eligible activities and expenses will be outlined in each grant request. These requests should be consulted for details.
4. Membership drives and general organizational fundraising activities may NOT be conducted using AOC Support Grant funding. This is a federal prohibition and is unallowable. However, personnel paid with AOC Support Grant funds **are expected** to assist local implementers with grant-writing for project development and implementation related to an approved management action projects or other actions, evaluations, studies or plans that will lead to BUI removal.
5. AOC Support Grant funds may not be used to purchase food or to purchase promotional items of any kind. This does not include brochures, newsletters or other published items that are designed to inform the public about the activities of the local AOC Advisory Committee.



**Table 1 - Ohio AOC Program Support Grants  
Example Master List of Grant Deliverables**

Objectives		Deliverables	Deliverable Units
<b>A. AOC Coordination, Support and Communication Activities</b>	1.	*General secretariat services to AOC Advisory Committee	ongoing
	2.	*Coordinate scheduling of AOC Advisory Committee meetings	# of Meetings
	3.	*Create/post minutes from AOC Advisory Committee meetings	# Minutes Posted
	4.	*Provide progress and fiscal update to AOC Advisory Committee	# of Updates
	5.	*Assist with BUI Removal/Delisting Documents	# of Documents
	6.	Assist with developing/maintaining Management Action Project List	# of Assists
	7.	Assist AOC-specific project teams, sub-committees, or workgroups	# of Teams
	8.	Prepare grant applications for AOC Management Action Projects (MAPs)	# of Applications
	9.	Provide grant writing assistance to Implementers for AOC MAPs	# of Assists
	10.	Prepare grant applications for AOC non-Management Action Projects (MAPs)	# of Applications
	11.	Provide grant writing assistance to Implementers for AOC non-MAPs	# of Assists
	12.	Prepare AOC-specific Habitat Restoration Plans	# of Plans
	13.	Assist with the preparation of AOC-specific Habitat Restoration Plans	# of Plans
	14.	Execute contract for professional facilitation/services for Advisory Committee	# of Contracts
	15.	Provide mapping and other GIS related assistance to AOC Implementers	# of Maps
	16.	Other (Specify)	# of Other
	17.	Other (Specify)	# of Other
<b>B. Grants Administration and Management</b>	1.	*Maintain separate account and grant financial accounting system	ongoing
	2.	*Maintain an AOC Facilitating Organization Time Code & Tracking System	ongoing
	3.	*Prepare and submit an AOC specific annual report	# of Reports
	4.	*Submit semi-annual progress reports	# of Reports
	5.	*Submit quarterly fiscal reports	# of Reports
	6.	*Submit final fiscal and progress reports at grant closing	# of Reports
<b>C. Public Involvement and Engagement</b>	1.	*Conduct public meetings	# of Meetings
	2.	*Enlist additional AOC Advisory Committee Members as needed	# of Members
	3.	Assist Ohio EPA with BUI removal public meeting	# of Meetings
	4.	Assist Ohio EPA with AOC delisting public meeting	# of Meetings
<b>D. AOC Specific Education, Outreach and Information Management Activities</b>	1.	*Establish/maintain a AOC-specific website	# of Updates
	2.	Establish/Maintain a AOC-specific Facebook page	# of Updates
	3.	Establish/Maintain other social media account(s)	# of Accounts
	4.	Create/post short AOC-specific videos on social media	# of Videos
	5.	Create/distribute longer AOC-specific videos on DVD (or another media)	# of Videos
	6.	Create/distribute AOC-specific print newsletter	# of Newsletters
	7.	Create/distribute AOC-specific e-newsletter	# of Newsletters

In Support of Ohio's Areas of Concern



Objectives		Deliverables	Deliverable Units
<b>D. AOC-Specific Education, Outreach and Information Management Activities</b> <i>(continued)</i>	8.	Develop/distribute AOC-specific press release	Press Releases
	9.	Develop/distribute AOC-specific brochure or fact sheet	# of Brochures
	10.	Develop/distribute management action project brochure or fact sheet	# of Brochures
	11.	Develop AOC-specific exhibit and/or display	# of Displays
	12.	Use exhibit/display regarding AOC program at environmental festivals/events	# of Events
	13.	Present regarding AOC-specific issues at webinar, workshop, meeting	# of Events
	14.	Attend AOC program related webinar, workshop, conference	# of Events
	15.	Create/install AOC awareness sign	# of Signs
	16.	Conduct AOC-specific workshop, conference	# of Workshops
	17.	Conduct AOC-specific technical training	# of Trainings
	18.	Conduct AOC-specific Town Hall meeting, symposium, summit	# of Events
	19.	Conduct AOC-specific management action project site visit/field day	# of Events
	20.	Conduct boat, bus and/or walking tour for public, officials or other audience	# of Events
	21.	Conduct AOC-specific stream clean-up	# of Events
22.	Other (Specify)	# of Other	
23.	Other (Specify):	# of Other	
24.	Other (Specify):	# of Other	
<b>E. AOC Supplemental Project Planning and Information Management Activities</b>	1.	Develop bid specification(s), scope of work and/or request for proposals	# of RFPs
	2.	Develop project design(s) and/or plan(s)	# of Designs
	3.	Execute contract for project design(s) and/or plan(s)	# of Contracts
	4.	Execute contract for project engineering and design	# of Contracts
	5.	Execute contract for project/plan implementation/construction	# of Contracts
	6.	Acquire GIS software	# of Software
	7.	Acquire appropriate GIS capable printer	# of Printers
	8.	Acquire other appropriate GIS capable hardware	# of Equipment
	9.	Attend GIS and/or mapping training	# of Training
	10.	Other (Specify):	# of Other
	11.	Other (Specify):	# of Other

The “\*” denotes **Core Deliverables** that must be included in the application. If not included, then a rationale must be provided describing how the deliverable will be completed during the project period.

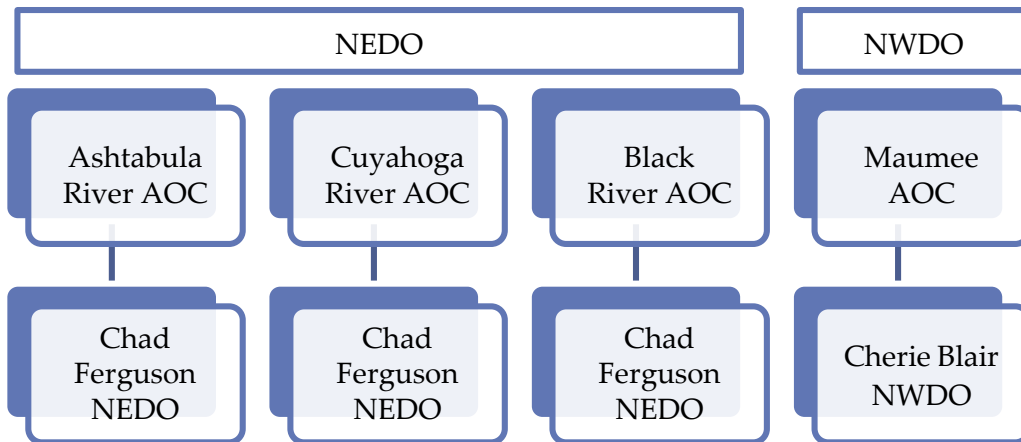
Having a Master List of Deliverables provides for substantial efficiencies during the grant application, review and contracting processes. Such a list of deliverables is also extremely helpful in improving accountability, streamlining progress reporting, and improving participants understanding of performance expectations. A Master List of Deliverables also provides a general framework that is flexible, and deliverables may be added at any time (when appropriate) should priorities or program directions shift.



**Ohio AOC Program Personnel:**  
**Ohio EPA District AOC Coordinators**

Ohio EPA's District AOC Coordinators provide local AOC groups access to valuable technical assistance and potential sources of financial assistance needed to facilitate the implementation of approved management actions. The Ohio AOC program personnel are housed in Ohio EPA Northeast (NEDO) and Northwest (NWDO) District Offices in order to enable fast responses to public inquiries or requests for assistance and to provide an important local perspective to program management in Ohio EPA's Central Office and the OLEC. The following table identifies Ohio EPA District AOC Coordinators with their respective AOC.

**Figure 3 – Ohio EPA District AOC Coordinators**



**Role and Duties of Ohio EPA District AOC Coordinators**

The Ohio EPA District AOC Coordinator provides important support to the local AOC Facilitating Organization and local AOC Advisory Committee. They also are valuable sources of information and assistance to local communities, park districts and other potential project implementers within the AOCs. Recognizing this, the roles and duties of the Ohio EPA District AOC Coordinator are expected to be consistent with the following:

- Serves as the Ohio EPA representative as an ex-officio member to the local AOC Advisory Committee and any other AOC local subcommittees, as appropriate. The Ohio EPA District AOC Coordinator may not participate as a voting member on any local AOC Advisory Committee or as a Board Member to any 501(C)(3) group serving as a local AOC Facilitating Organization.

## In Support of Ohio's Areas of Concern



- Assists the local AOC Facilitating Organization with preparation and submittal of required information or data (as appropriate) to Ohio EPA by required due dates.
- Coordinates with the local AOC Facilitating Organization to track and report management actions, list development and implementation.
- Helps the local AOC Facilitating Organization to inform the AOC community about environmental and water quality improvements, management action project implementation, and BUI removals that are occurring within the AOC.
- Provides general oversight of the local AOC Facilitating Organization and helps monitor subgrant performance to keep progress moving forward for project deliverables and subgrant schedules.
- Works, in partnership with the local AOC Advisory Committee and others, to encourage and facilitate the completion of a list of recommended management action projects for presentation to the Ohio AOC Program and GLNPO for approval. Once the list is approved, the Ohio EPA District AOC Coordinator works in partnership with the local AOC Advisory Committee and implementers to encourage and facilitate implementation of the management action projects.
- Provides access to technical assistance and highlights funding opportunities (when available) to local implementers such as municipalities, park districts, land managing non-governmental organizations and others within the AOC areas.
- Helps, in partnership with the local AOC Advisory Committee, develop draft BUI removal documents, when appropriate. Tracks and provides BUI status updates to local AOC Advisory Committee and Ohio EPA/OLEC technical staff and management.
- Implements policies, procedures and programs as directed by Ohio AOC Program leadership. As a rule of thumb, the Ohio AOC Program is coordinated by OLEC with input from Ohio EPA Central and District offices.
- Assists with public outreach and educational activities related to the AOC. Coordinates with Ohio AOC Program personnel, including Ohio EPA Public Interest Center staff to conduct public meetings and issue press releases.
- Provides quarterly AOC progress reports to the OLEC AOC Program Administrator and Ohio EPA management.
- Attends national and regional program meetings and conferences and represents Ohio EPA on workgroups and inter-agency initiatives.

Ohio EPA District AOC Coordinators are Ohio EPA employees, and as such may not serve as a formal voting member of an AOC Advisory Committee or any formal body of the local AOC Facilitating Organization. They may serve in ex-officio capacities only. Ohio EPA staff must perform all their duties in the best interest and within the strategic context of Ohio EPA agency programs,





policies and procedures. Serving as a voting member on a group that potentially receives and/or applies for grant funding (or directly benefits) from Ohio EPA is not appropriate.

## **Minimum Performance Expectations for the Ohio EPA District AOC Coordinators**

Ohio EPA's District AOC Coordinators are important links between the local AOC Advisory Committee, Facilitating Organization and state and federal agencies. The ongoing support, guidance, assistance and communication that is provided to local AOC Facilitating Organizations and Advisory Committees from the District AOC Coordinator is important for ensuring continued progress toward removing BUIs and restoring the water quality within the AOC. The minimum performance expectations are:

1. Coordinates with the OLEC AOC Program Administrator when direct communication with U.S. EPA GLNPO personnel occurs. Copies the OLEC AOC Program Administrator on AOC-related correspondence to and from GLNPO personnel particular to BUI removal and management actions.
2. Returns phone calls and emails promptly from local AOC participants.
3. Provides AOC related information promptly when requested by local AOC participants and others.
4. Attends meetings of the local AOC Advisory Committee (or equivalent).
5. Provides an Ohio AOC Program update at each AOC Advisory Committee meeting.
6. Provides AOC progress and activity reports to the OLEC AOC Program Administrator and Ohio EPA management on a quarterly schedule established annually by the Program Administrator.
7. Coordinates quarterly with the U.S. EPA AOC Task Force Lead on BUI and Management Action updates.
8. Attends the annual U.S. AOC conference and other appropriate workshops and conferences.
9. Leads the development of any draft BUI removal documents.
10. Attends and participates in any scheduled Ohio AOC Program meetings as advised.
11. Tracks, reviews and updates BUI status when new monitoring data becomes available.
12. Represents Ohio EPA, OLEC and the Ohio AOC Program in a professional and positive manner.



## **Ohio AOC Program Personnel:**

### **Ohio Lake Erie Commission AOC Program Staff**

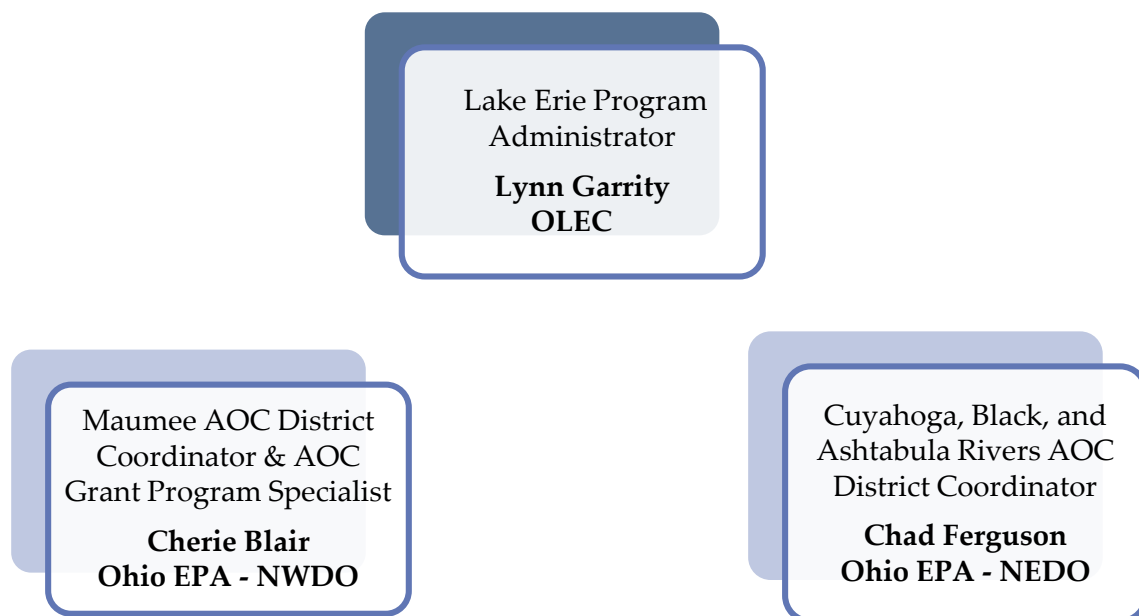
Ohio's Lake Erie Program is much more than simply the AOCs. We are also responsible for implementing other provisions of the Great Lakes Legacy Act (GLLA), GLRI, GLWQA, Lake Erie Lakewide Action and Management Plan (LAMP) and monitoring efforts, and working with the myriad of other agencies, communities, nonprofit organizations and other stakeholders within the Lake Erie basin. A key to an effective program is ensuring that communication among all staff working on all aspects of the program is occurring on a frequent and regular basis. OLEC's Lake Erie Program Administrator assists in serving in the coordination role of the various program areas of Lake Erie in Ohio.

For the purposes of this framework, the focus is on the activities and responsibilities of OLEC's Lake Erie Program Administrator as they relate to Ohio's four AOCs. Additional staff support is provided for various technical and administrative tasks for the Ohio AOC Program. These include Ohio EPA District and Central Office staff for monitoring, quality assurance, management action coordination, grant and fiscal administration for grants/subgrants, and program-wide budgets.

The Ohio Lake Erie Program Administrator provides AOC program oversight, strategic planning and direction, performance evaluation of the program, and communicates procedures, policies and program priorities. Housed at OLEC, the Ohio AOC Program Administrator is the primary program liaison with Ohio agency leadership, public information, legal and legislative program staff on matters pertaining to Ohio's AOC Program.



**Figure 4 - Ohio AOC Program Staff**



### **Role and Duties of Ohio AOC Program Administrator**

- Assists with the development of program policies, procedures and annual strategic program priorities. Primary agency liaison with Ohio EPA District AOC Program staff and provides clear direction on program matters and priorities. Works with District management and AOC staff to insure consistent implementation of AOC Program activities.
- Serves as Ohio's program coordinator to U.S. EPA on AOC and other Lake Erie related program issues as applicable or in coordination with Ohio EPA and OLEC program staff and leadership. Represents Ohio's AOC program on federal AOC workgroups and committees.
- Coordinates Ohio's AOC Program activities with other state and federal agencies including ODNR, U.S. ACE, U.S. FWS, NOAA, USGS and others. Serves as Ohio's information clearinghouse for state and federal agencies on AOC Program activities and priorities.
- Facilitates communication between Ohio EPA District Coordinators by leading regularly scheduled quarterly (or as needed) Ohio AOC Program calls.
- Provides program updates to Division of Surface Water management and OLEC. Completes and submits required grant reports to U.S. EPA – Great Lakes National Program Office.



Responsible for reporting AOC restoration progress to U.S. EPA. Coordinates the development, submittal and printing of an Ohio AOC Program Annual Report.

- In partnership with other state and federal programs may write applications and apply for GLRI and other types of grants.

Delisting Ohio's AOCs is central to the AOC Program's mission to make meaningful and lasting improvements in Lake Erie and continues to be an important priority that will be achieved through effective local, state and federal partnerships. These partnerships and systematic implementation of projects will lead to remediation of contaminated sediments, restoration of habitat and removal the remaining beneficial use impairments.

## **Minimum Performance Expectations for Ohio Lake Erie Program**

### **Administrator**

1. Assists with preparation of Lake Erie Program annual report and submits it to the Lake Erie and Nonpoint Source Program Manager each year. Material will be added into Ohio EPA's Nonpoint Source Program Annual Report that is submitted to U.S. EPA-Region 5 each fall.
2. Assists with preparation of Lake Erie Program annual work plan for U.S. EPA Great Lakes National Program Office and coordinates with Ohio EPA and OLEC. Coordinates Ohio AOC reporting associated with Great Lakes Water Quality Agreement documents (LAMP, Annex 1, others, as applicable).
3. Provides project briefings and program updates to Ohio EPA and OLEC management staff.
4. Serves as the primary Ohio Lake Erie program contact with GLNPO program staff on all matters of financial and implementation concern. Coordinates closely with AOC District Coordinators on interactions with GLNPO staff.
5. Provides timely responses to all GLNPO program related information requests.
6. Updates Lake Erie Program policies and procedures (with concurrence of Ohio EPA and OLEC management) and prepares white papers and other reports detailing any change in Lake Erie Program policies and/or procedures.
7. Develops implementation project ideas and works in multi-agency and other partnerships to prepare Lake Erie/GLRI grant applications.
8. Provides assistance for the Lake Erie Program Capacity Grant and any and all associated subgrants.
9. Organizes and leads quarterly AOC Program staff meetings.
10. Responds promptly to requests from Ohio EPA District Office and OLEC staff and management.
11. Attends and participates in any scheduled Lake Erie AOC Program meetings.
12. Attends the annual national AOC conference and other appropriate workshops and conferences.



13. Represents OLEC, Ohio EPA and the Lake Erie Program in a professional and positive manner.
14. Coordinates (as in copies, summarizes or shares) information from all AOC specific calls with Ohio EPA's District AOC Coordinators and management.
15. Works with OLEC and Ohio EPA program management and staff to integrate Ohio's AOC and Lake Erie Programs with other Ohio EPA, Division of Surface Water programs such as Ecological Assessment, Nonpoint Source Program and NPDES Permitting, and other Ohio EPA divisions, as applicable.

### **AOC Program – Grants Management**

The Ohio AOC Program, through OLEC and Ohio EPA staff, will provide financial and administrative assistance with respect to grants and subgrants issued to local AOC Facilitating Organizations, other state agencies and local implementers of AOC Management Actions upon preliminary review and approval by the Lake Erie Program Administrator. Tasks include:

- Preparing grant agreements; tracking all grant subgrant materials; verifying compliance with work plans and grant agreements; and, reviewing payment requests to ensure that subgrant expenditures comply with federal grant guidelines, U.S. Office of Management and Budget circulars and state laws.
- Coordinates with Central Office fiscal staff; reviews and processes payment requests; insures adequate progress is being made by local subgrantees and/or AOC Facilitating Organizations and Ohio EPA District AOC staff.